

Express Mail Label No.: EU2821694US

Date of Deposit: January 7, 2003

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Attorney Docket No. 21402-269 (CURA 569)

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JAN 07 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Guo, et. al.

ASSIGNEE : CURAGEN CORP.

SERIAL NUMBER : 10/074,978 EXAMINER: Not Yet Assigned

FILING DATE : February 12, 2002 ART UNIT: 1646

FOR : NOVEL PROTEINS AND NUCLEIC ACIDS ENCODING SAME

**BOX SEQUENCE**

P.O. Box 2327

Commissioner for Patents  
U.S. Patent and Trademark Office  
Arlington, VA 22202

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-referenced patent application are the following documents:

1. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 pg.);
2. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 pg.);
3. Computer Readable Form Copy of the "Sequence Listing" (1 diskette);
4. Paper Copy of "Sequence Listing" (573 pgs.);
5. Statement in Support of Computer Readable Form Submission (1 pg.);
6. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts. A duplicate copy of this transmittal letter is enclosed.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 21402-269 (CURA 569).

Respectfully submitted,

*Eric Sinn*

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Date: January 7, 2003

EU 2821694 US



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PATENT TRADEMARK OFFICE

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Arlington, VA 22202

**RESPONSE TO NOTICE TO COMPLY**

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (the "Notice") mailed November 7, 2002, Applicants submit herein a computer readable form (CRF) copy of the "Sequence Listing", a paper copy of the "Sequence Listing", as well as a Statement in Support of Computer Readable Form Submission. Also enclosed is a copy of the Notice and a statement that the content of the paper and computer readable copies are the same and include no new matter in compliance with 37 C.F.R. §§ 1.821 –1.825.

Should any questions or issues arise concerning this application, the Examiner is encouraged to contact the undersigned at the telephone number provided below.

Although Applicant believes no additional fees are due with this filing, the Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21402-269 (CURA 569).

Date: January 7, 2003



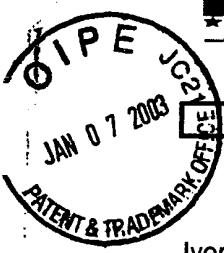
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PATENT TRADEMARK OFFICE

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

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Washington, DC 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,978	02/12/2002	Xiaoja Guo	21402-269 (CURA-569)

Ivor R. Elrifi  
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Done By	
113;31 Final	
<input type="checkbox"/> Data Entry	
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<input type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req.	
<input type="checkbox"/> ELITE	
<input type="checkbox"/> Annuities	

CONFIRMATION NO. 9746

## FORMALITIES LETTER



\*OC00000009080908\*

Date Mailed: 11/07/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*

**RECEIVED**

NOV 11 2002

MINTZ LEVIN, BOSTON  
PATENT DOCKET DEPT.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY